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COUNTRY

4-6-01

K. Yamanouchi

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(Should be specifically itemized)

15 □ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. . Other:

14. 

Small Entity 

Statement filed in prior application,

Statement(s) Status still proper and desired

FAY

UTUUTY PATENT APPLICATION TRANSMITTAL

Express Mail Label No. EL 501 638 331 US for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. X Fee Transmittal Form 6 III Microfiche Computer Program (Annendix) Submit an original, and a duplicate for fee processing) 2 M Specification [Total Pages 701 7. II Nucleotide and/or Amino Acid Sequence Submission (preferred arrangement set forth below) (if applicable, all necessary) -Descriptive title of the Invention a. 

Computer Readable Copy -Cross Reference to Related Applications D. D. Paper Copy (identical to computer copy) -Statement Regarding Fed sponsored R&D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS -Brief Description of the Drawings (if filed) 8. Assignment Papers (cover sheet & document(s)) -Detailed Description of the Invention (including drawings, if filed) 714 -Claim(s) 9. □ 37 CFR 3.73(b) Statement □ Power of Attorney -Abstract of the Disclosure (when there is an assignee) 351 @ Drawing(s) (35 USC 113) Total Sheets 121 B English Translation Document (if applicable) Information Disclosure Oath or Declaration [Total Sheets 2] Statement (IDS)/PTO-1449 Citations 200 Newly executed (original or copy) 12. 
Preliminary Amendment b. 

Copy from a prior application (37 CFR 1.63(d)) Return Receipt Postcard (MPEP 503)

[Note Box 5 below] i. DELETION OF INVENTORS(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b). □ Incorporation By Reference (useable if Box 4b is checked) 5. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the

(for continuation/divisional with Box 17 completed)

disclosure of the accompanying application and is hereby incorporated by reference therein 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed 18. CORRESPONDENCE ADDRESS 20583h Hillian hallan hallan hallan kilinsert Customer □ Customer Number or Bar Code Label or Correspondence address below No. or Attach bar code label here) NAME ADDRESS CITY STATE ZIP CODE

TELEPHONE Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments or the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Tademark Office. Washington, D. 20231. DC NOT SEND FEES OR COMPLETED FOR MIS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## IN THE LINITED STATES PATENT AND TRADEMARK OFFICE

Application of: K. YAMANOUCHI et al.

For: SPREAD SPECTRUM SIGNAL PROCESSING APPARATUS AND SPREAD

SPECTRUM COMMUNICATION SYSTEM

Attorney Docket No. 9885-009

Commissioner for Patents Washington, D.C. 20231

Sir:

The following documents are submitted herewith:

- 1. Utility Patent Application Transmittal and Application Fee Sheet;
- English translation of PCT international application PCT/JP99/05512 filed October 6. 1999:
- Declaration And Power Of Attorney;
- 4. Assignment and Recordation Form Cover Sheet:
- PCT International Search Report (PCT/ISA/210), in English and Japanese;
- Copy of PCT Notice Informing The Applicant Of The Communication Of The International Application To The Designated Offices (PCT/IB/308):
- Copy of PCT International Preliminary Examination Report (Form PCT/IPEA/409):
- Copy of PCT Notification Concerning Submission Of Priority Documents (PCT/IB/304):
- Record Copy of the PCT Application No. PCTI/JP99/05512 (in Japanese) and Copy of PCT Notice of Receipt of Record Copy (PCTI/B/301);
- 10. A Preliminary Amendment: and
- Information Disclosure Statement and References Cited.

The benefit of the October 6, 1998 priority filing date of Japanese patent application No. JP 10-284450 is claimed under 35 U.S.C. § 119.

Please calculate the filing fee after the entrance of the preliminary amendment.

Respectfully submitted,

that Reg. No. 40,414)

Date April 5, 2001

Charles E. Miller

(Reg. No.)

PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711 (212) 790-9090

Attorney for Applicants

Enclosures

## PENNIE & EDMONDS LLP

COUNSELLORS AT LAW 1155 Avenue of the Americas New York, N.Y. 10036-2711 (212) 790-9090

ATTORNEY DOCKET NO. 9885-009-999

Date: April 5, 2001

Assistant Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): K. YAMANOUCHI et al.

Executed on: April 5, 2001

Title of Invention: SPREAD SPECTRUM SIGNAL PROCESSING APPARATUS AND SPREAD SPECTRUM

COMMUNICATION SYSTEM

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE	
Total Claims	16	-20	0	\$18.00 each	\$	0.00
Independent	3	-3	0	\$80.00 each	\$	0.0
Minimum Fee					\$	710.0
	Multiple Dependency Fee If Applicable (\$270.00)				<u> </u>	270.0
	Total				\$	980.0
	50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)				- \$	0.0
				Total Filing Fee	s	980.0

- DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- Priority of application no. 10-284,450 filed on October 6, 1998 in Japan is claimed under 35 U.S.C. § 119.
- The certified copy of the priority application has been filed in application no. filed
- Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is

enclosed.

Respectfully submitted,

the las

Charles E. Miller

PENNIE & EDMONDS LLP

(Reg No.)

s E. Miller (Reg. No.

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.